

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Number : 09/470,180 Confirmation No.: 5863  
Applicant : Jay MERVES, *et al.*  
Filed : December 22, 1999  
Title : STRUCTURED FINANCE PERFORMANCE ANALYTICS  
TC/Art Unit : 3627  
Examiner: : Paul DANNEMAN  
Docket No. : 72167.000112  
Customer No. : **21967**

**Mail Stop ISSUE FEE**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS**

In response to the Notice to File Corrected Application Papers, this response is submitted.

**Remarks** begin on page 2.

**REMARKS**

In response to the Notice to File Corrected Application Papers mailed on May 10, 2011, a Replacement Sheet for Figure 3E is enclosed addressing the deficiency identified in the Notice to File Corrected Application Papers. Specifically, the label for Figure 3E was missing. A label of "FIG. 3E" has been added to the drawing to address the deficiency. No new matter has been added. This amendment is proper after the payment of the issue fee since it is limited to correcting the informalities noted on the Notice to File Corrected Application Papers.

**CONCLUSION**

The Examiner is respectfully requested to contact the undersigned by telephone at the below-listed telephone number, in order to expedite resolution of any issues and to expedite passage of the present application to issue, if any comments, questions, or suggestions arise in connection with the present application.

No fees are believed to be required. However, should any fees be required for entry of this response, the Commissioner is hereby authorized to charge such fees, or credit any overpayment, to Deposit Account No. **50-0206**.

Respectfully submitted,

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Dated: 5/13/11



## UNITED STATES PATENT AND TRADEMARK OFFICE

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[www.uspto.gov](http://www.uspto.gov)

Application No. : 09470180  
Applicant : Merves  
Filing Date : 12/22/1999  
Date Mailed : 05/10/2011

### NOTICE TO FILE CORRECTED APPLICATION PAPERS

#### *Notice of Allowance Mailed*

This application has been accorded an Allowance Date and is being prepared for issuance. The application, however, is incomplete for the reasons below.

**Applicant is given 2 month(s) from the mail date of this Notice within which to respond.**

The informalities requiring correction are indicated in the attachment(s). If the informality pertains to the abstract, specification (including claims) or drawings, the informality must be corrected with an amendment in compliance with 37 CFR 1.121 (or, if the application is a reissue application, 37 CFR 1.173). Such an amendment may be filed after payment of the issue fee if limited to correction of informalities noted herein. See Waiver of 37 CFR 1.312 for Documents Required by the Office of Patent Publication, 1280 Off. Gaz. Patent Office 918 (March 23, 2004). In addition, if the informality is not corrected until after payment of the issue fee, for purposes of 35 U.S.C. 154(b)(1)(iv), "all outstanding requirements" will be considered to have been satisfied when the informality has been corrected. A failure to respond within the above-identified time period will result in the application being ABANDONED. **This period for reply is NOT extendable under 37 CFR 1.136(a).**

See attachment(s).

*A copy of this notice **MUST** be returned with the reply. Please address response to  
"Mail Stop Issue Fee, Commissioner for Patents,  
P.O. Box 1450, Alexandria, VA 22313-1450".*

/Marty Willis/  
Publication Branch  
Office of Data Management  
(571) 272-4200

**IDENTIFICATION OF DRAWING DEFICIENCIES**

- There is a hole or the image thereof within the illustration. FIG(s)
- The illustration is penetrated or traversed by a solid or broken line that is not intended to be part of the drawing, such as a dark line caused by a flaw in the copying process. FIG(s)
- An ink stamp or the image thereof obscures part of the illustration. FIG(s)
- The drawing is marred by black smudges, obliterations, or fax/copier marks (for example, speckles or dots in a substantial portion of the drawing). FIG(s)
- Figure numbers are duplicated or missing. FIG(s) 3E (missing label)
- Drawing sheet or figure is missing. FIG(s)
- Numbers, letters, or reference characters in the drawing have been crossed out or are illegibly handwritten. FIG(s)
- The character of the lines, numbers, and letters is poor. FIG(s)
- The drawing's background shows that the original drawing was made on graph paper or other paper with a pattern or decoration. FIG(s)
- The FIG. number label is placed in a location that causes the drawing to be read upside down. FIG(s)
- Data, a reference number, or part of the drawing is truncated or missing, or a lead line has no reference number. FIG(s)
- The drawing is continued onto a second page (or more) without proper labeling under 37 CFR 1.84(u)(1). FIG(s)
- The drawing and/or the FIG. label contain(s) foreign language. FIG(s)
- A petition under 37 CFR 1.84(a)(2) to accept color drawings has been granted, but the brief description of the drawings in the specification does not contain (or has not been amended to contain) the paragraph required by 37 CFR 1.84(a)(2)(iv).
- OTHER:
- COMMENTS:  
On Replacement Sheet 7 of 32 submitted 4-29-11, there is no label for Figure 3E.

Please provide a corrected replacement sheet.